

SEE Programme 2007-2013

Priority 4 and the 4th CFP

Johannes Gabriel

SEE Joint Technical Secretariat

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Jointly for our common future



Content

- Priority 4 and related Areas of Intervention
- 4th CfP in brief
- 4th CfP: Specific thematic expectations
- Success factors of project development

Jointly for our common future



General characteristics

- The Priority is addressing specific needs / challenges related to SEE territorial structure
 - Needs analyses in OP
- Place based approach
 - SEE territories and respective actors are key
- Cross-sectoral scope (environment, social services, transport, governance, ...)
 - Integrated projects are expected



Priority Axis 4

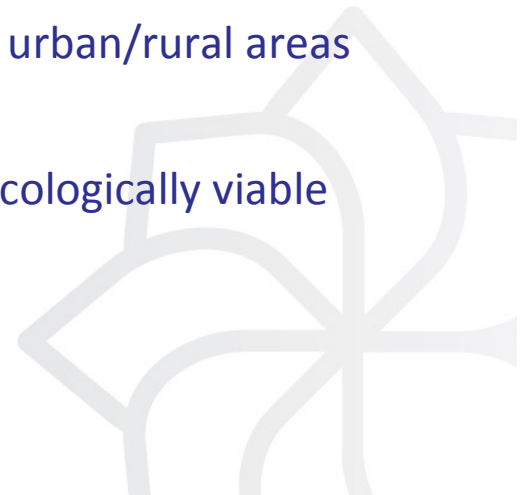
<p>Priority Axis 4: Development of transnational synergies for sustainable growth areas</p> <p><i>Objective is to implement integrated strategies for metropolitan areas and regional systems of settlements, work towards polycentric structures in the programme area and use cultural values for sustainable development</i></p>	<p>4.1. Tackle crucial problems affecting metropolitan areas and regional systems of settlements</p>	<p><i>Tackle the high concentration of economic, environmental, social and governance problems affecting metropolitan areas and regional systems of settlements</i></p>
	<p>4.2. Promote a balanced pattern of attractive and accessible growth areas</p>	<p><i>Take up the chances which the optimization of the given polycentric structure offer</i></p>
	<p>4.3. Promote the use of cultural values for development</p>	<p><i>Inclusion of of cultural values as an integral part of the programme area in the planning and development processes of urban centres, systems of settlements and surrounding rural areas</i></p>

Aol 4.1: Tackle crucial problems affecting metropolitan areas

- Main-focus: internal cohesion of (urban) growth areas
- Starting point: common kind of problems, e.g. public services, infrastructure or planning issues
- Project-example: FATE (revitalization of military brown fields within SEE-cities)

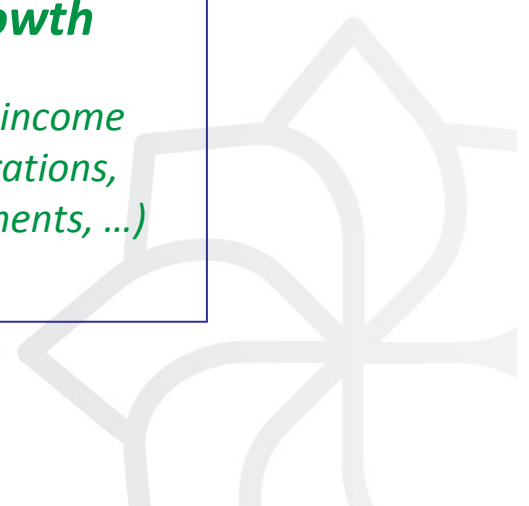
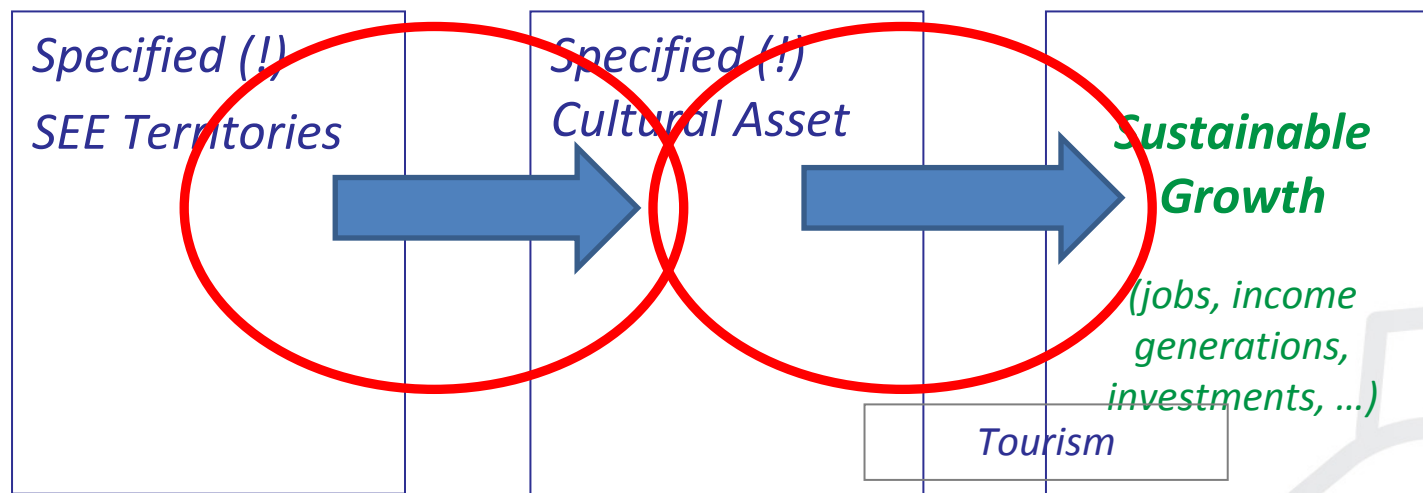
Aol 4.2: Promote balanced pattern of attractive and accessible growth areas

- Main-focus: reducing disparities between stronger and weaker urban/rural areas
- Starting point: common (functional) area
- Project-example: Datourway (integrated strategy to promote ecologically viable tourism along the Danube)












AoI 4.3: Promote the use of cultural values for development

- Main-focus: cultural values as (territorial) development factors
- Starting point: common cultural asset (built heritage related to a certain era, religious heritage, cultural landscapes, ...)
- Project-example: ATRIUM (Exploiting potentials related to totalitarian heritage)



Priority Axis 4 - Status Quo

1st CfP open	4.1	4.2	4.3
			
2nd CfP Open restricted	4.1	4.2	4.3
			
3rd CfP Strategic	4.1	4.2	4.3
			
3rd CfP Open (but...)	4.1	4.2	4.3

4th CfP in brief

- Call launched 10th October
- E-submission until 25th Nov (registration needed!)
- Open Call – proposals can be submitted under all Areas of Intervention
- Indicative* allocation of funds:

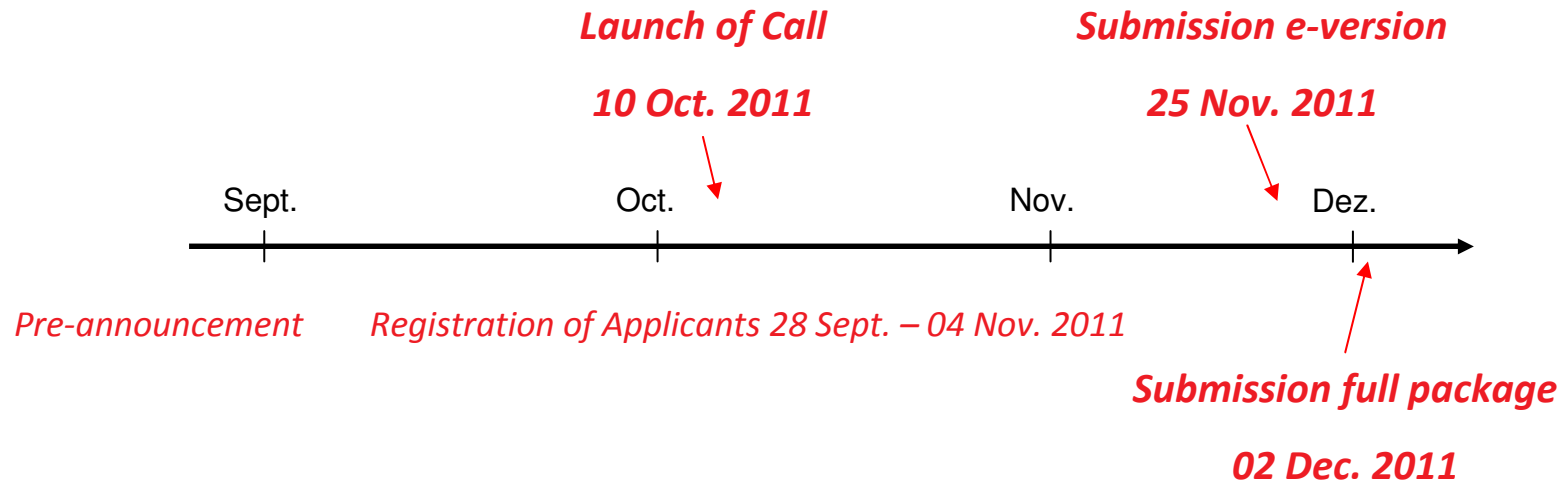
ERDF	IPA	ENPI
52 Mio EURO	10 Mio EURO	1.5 Mio EURO

** Minimum amounts, depending on allocation funds 3rd CfP and decommitment 1st CfP*

- ! Project duration limited to 24 months
- ! 1-step selection procedure (→ *SEE PM 4.1 section 6*)
- ! no in kind contribution eligible under the 4th CfP
- ! no common costs eligible under the 4th CfP



Time schedule



IPA / ENPI Funding

- **IPA integration finalized**

- Integrated administrative and financial management with ERDF ...
- ... 1 Subsidy Contract and 1 Lead Partner for both ERDF and IPA
- LP only from EU country of the Programme Area
- ! No pre-financing
- ! No budget thresholds
- Public co-financing only

- **ENPI available**

- Management of ENPI project part regulated separately by Grant Contracts
- Minimum threshold 100.000 EURO



IPA / ENPI Funding

ERDF/IPA versus ENPI <i>Differences at project level</i>	
LP signs the contract on behalf of ERDF / IPA partners, LP responsible financially for ERDF / IPA	ENPI partner signs the contract with JTS/MA, LP responsible for content-wise part of the project ENPI partner signs Special Conditions (grant contract) PRaG template which will be modified with derogations-to be adjusted to the SEE requirements
LP signs the contract on behalf of ERDF / IPA partners, LP responsible financially for ERDF / IPA	ENPI partner signs the contract with JTS/MA, LP responsible for content-wise part of the project
National controllers (FLC) check the expenditures	External auditors (paid by the project)
No thresholds / IPA follows ERDF budget structure	100.000 € minimum threshold / PRaG budget template
IPA partner responsible for reporting to the LP who submits progress reports to the JTS IPA follows reporting tools and templates as ERDF	ENPI partner responsible for reporting (content part) to the LP and the JTS (narrative and financial part), PRaG templates to be modified for the SEE requirements

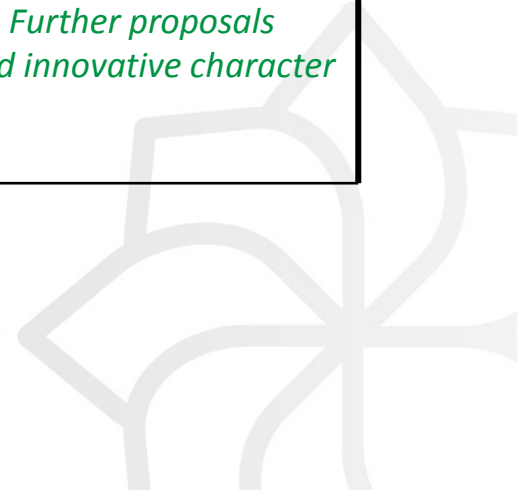
Specific Expectations

- 4th CfP is an „open call” but ...
- ... don't re-invent the wheel! look around what is already realized under the previous CfPs
- Demonstrate the value-added and innovative character of your project
- Ensure sound capitalization of existing results
- Consider current policy trends at EU and SEE level (Europe 2020, Danube Strategy, ...)
- SEE Background Study (available on our website) as further source of inspiration



Trends and topics: Some examples (non-exhaustive list)

<p>4.1. Tackle crucial problems affecting metropolitan areas and regional systems of settlements</p>	<ul style="list-style-type: none"> - To fight social exclusion of Roma population in SEE - Promote a new generation of PPPs for better delivery of public goods and services in non-metropolitan areas - Competences in Green Urban Technologies - Foster competence and transparency in Urban Planning
<p>4.2. Promote a balanced pattern of attractive and accessible growth areas</p>	<ul style="list-style-type: none"> - Building Metropolitan Regions - Strengthening integrated (cross-sectoral) planning approaches to contribute to a resource efficient urban development
<p>4.3. Promote the use of cultural values for development</p>	<p><i>Targeted 2nd CFP focused exclusively on AoI 4.3. Further proposals welcome if demonstrating clear value-added and innovative character</i></p>



Common Mistakes

Partnership

- Partnership Composition ...
 - ***Not all PPs really committed/reliable (PP withdrawals ...)***!!
 - *„Umbrella Project“: PPs randomly chosen in order to increase the geographical scope*
 - *Size too small for ensuring transnat. impact or over-ambitious / over-complex*
 - *Unbalanced (focus on 1 country or LP, experienced actors versus „newcomers“)*

- Capacity...
 - ***Specific expertise postulated but not explained, roles not detailed***
 - *Key actors missing*
 - *Vertical links missing (e.g. only Universities involved) / horizontal links missing (e.g. municipalities involved only)*

- Project Management...
 - ***LP inexperienced in transnational / complex projects (or experienced but without capacities)***
 - *Too focused on LP ... or over-complex*



- Project in line with programme objectives?
 - *Transnational dimension neglected (transnational value added?)*
 - *Weak links to territorial cohesion and/or sustainable economic growth (e.g. pure networking or research projects)*

- Contributions to Priority Axis and Area of Intervention?
 - *Priority / Aol only vaguely / implicitly addressed*
 - *Project topic narrowly focused with little impact on SEE area (e.g. „stand-alone“ activities such as organization of one cultural event)*

- Conceptual approach and workplan well designed and realistic?
 - *Logical and timely inconsistencies (e.g.: pilot actions first and then elaboration of studies, concepts ...)*
 - *Unrealistic objectives, activities, outputs, results*
 - *Activities not properly elaborated, sections of the workplan left blank*

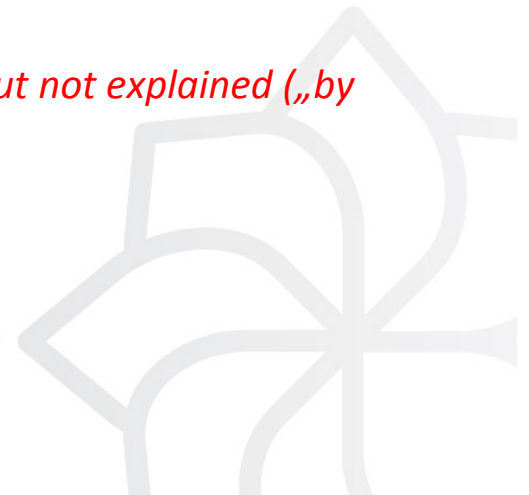


■ Value for money?

- *No sufficient knowledge on of financial regulations (funding rate, type of BLs, eligible expenditures ...)*
- ***Budget allocation not understandable** due to missing information (e.g. activities or deliverables poorly described)*
- ***Unrealistic spending forecast***
- *Budget for project management tasks (WP 0 – 2) inflated*
- *High and/or unjustified expenditure on investments (transnational impact?)*
- *„Unusual“ high amounts for certain BLs, e.g. very high budget for **external expertise***

■ Usability of project deliverables?

- ***Outputs and results are confused, missing quantification, limited relevance** (real case: more than 100 mostly local events planned within one project)*
- ***Poor approach for ensuring sustainability**, it is postulated only but not explained („by nature the project ...“), no efforts to define concrete „next steps“*
- *Target groups and stakeholders generally addressed only*



Content

- Synergies and Capitalization ensured?
 - *Poor awareness of the importance of cap./syn. and risk of duplication*
 - *Synergies and capitalization confused*
 - *(Generic) elements listed only, but not explained*
 - *Not enough efforts to clearly demonstrate the **innovative aspects of a project***

- Formal Elaboration



Final recommendations

- Thorough knowledge of SEE documents related to programme, Priority/AoI and Call is essential!
- It's a competitive scenario (over 500 EoI under the 2nd CfP ...) in which quality-projects shall be generated
- Quality: all dimensions of a proposal matter (i.e. partnership, content, budget, logical frame, formal elaboration)
- Self-assess your project idea / project proposal!
→ *SEE PM section 7*
- Realistic time management!



Sources of Information

- **Programme related Documents**
 - Operational Programme

- **Call related Documents**
 - Call Announcement, Application Form template
 - Background Study
 - Programme Manual
 - Applicant Guidelines

- **SEE Website**
- **JTS support** (contact Priority Manager)



We wish you a succesfull cooperation!

SEE Joint Technical Secretariat
jts@southeast-europe.net

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